



Radar Systems Engineering Lecture 13 Clutter Rejection Part 2 - Doppler Filtering

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IEEE New Hampshire Section

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• Introduction

- Problem perspective
 - Burst Waveforms and their properties
 - The impact of Moore's Law on radar Signal Processing Past, present, and the future
- Pulse Doppler Processing Techniques
 - Description of pulse Doppler processing
 - Low PRF Example Moving Target Detector (MTD)
 - Range and Doppler Ambiguities
 - Ambiguity Resolution Chinese remainder theorem
 - The "Ambiguity Function"
 - Preview of Airborne Pulse Doppler issues
- Summary





Ground Clutter

- Can be intense and discrete
- Can be 50 to 60 dB > than target
- Doppler velocity zero for ground based radars

Doppler spread small

Rain Clutter

- Diffuse and windblown
- Can be 30 + dB > than target
- Doppler zero for ground based radars

Doppler spread small



Sea Clutter

- Less intense than ground By 20 to 30 dB Often more diffuse
- Doppler velocity varies for based radars (ship speed & wind speed)
 Doppler spread moderate
- Bird Clutter
 - 100s to 10,000s to point targets
 - Doppler velocity 0 to 60 knots
 Doppler of single bird has little change
 Flocks of birds can fill 0 to 60 knots of
 Doppler space
 Big issue for very small targets

A one filter with a notch at zero

Doppler will not adequately reject rain

Courtesy of FAA





- Typically they process a few (2-5) pulses at a time, so it is near to impossible to shape them as well as you could if filter had an input of 8-10 pulses
 - 2 pulse MTI canceller is very broad in Doppler space
- A set of pass band Doppler filters, using 8-10 pulses) can be constructed having:

A notch at zero Doppler to reject ground clutter

A set of passband filters that can detect targets where no rain is present

 Before the mid 1970s, the technology, to cost effectively implement pulse Doppler solutions to the simultaneous ground and rain clutter was not available







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Utility of Burst Waveforms for Clutter Rejection





- A burst waveform offers a method of collecting M sequential samples an each range CPI cell.
- These samples can be linearly processed through a set of pass band filters that will
 - Detect targets within a range of Doppler velocities and simultaneously reject clutter that is in their low sidelobes
 - If the pass band filters are narrow enough in frequency, a measurement of the Doppler velocity of the target that passes through them can be made







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Impact of Moore's Law of Radar Processing



- Tremendous advances in A/D Converter technology
- In the 1970s, a 10 bit 5 MHz A//D was near the commercial state of the art
 - 30 lbs and 3" of rack space
 - Now it is not only on a chip, but many more bits and much higher sample rates are available
- For a 60 nmi aircraft surveillance radar, with a mechanically scanning antenna, the new computational processing advances allowed the number of range-azimuth-Doppler cells being individually thresholded from a several <u>thousand</u> to several <u>million</u> per radar scan
 - These advances allowed aircraft to be reliably detected in rain
 - Much better detection of aircraft in ground clutter
 - Low false alarm rates that allowed the radar and beacon sensor systems to be seamlessly integrated
- In the future, expect that advances in processing technology will allow, implementation of new techniques, which today are seemingly impossible to implement







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Waveforms for Pulse Doppler Processing









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- Clutter rejection
- Resolving targets into different velocity segments and allowing for fine-grain target radial velocity estimation

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• MTI Improvement Factor, I, already introduced in previous lecture is

 $I(f_d) = \frac{(Signal / Clutter)_{out}}{(Signal / Clutter)_{in}}$

- The next question "In the presence of "colored noise" (ground clutter, rain & noise), what are the weights, W_i(f_D), by which the M input signal (+ clutter) samples, S_i, should by multiplied by so that the S/(C+N) will be maximized?
 - Note that the optimum set on weights depends on $\boldsymbol{f}_{\scriptscriptstyle D}$
 - Also on the number of pulses processed, M
- In the late 1960s, the solution was developed by 2 independent sets of researchers (See Reference14 and 15)





- Problem
 - What is the optimum way (maximize S/(N+C+I) to linearly process M complex radar echoes, V_i , in the presence of noise, clutter returns (ground, rain, sea, etc.) and interference?

Answer:

$$\mathbf{R} = \left| \sum_{i=1}^{M} \mathbf{W}_{i} \mathbf{V}_{i} \right|^{2}$$
- where $\mathbf{W}_{i}^{OPT} = \mathbf{k} \sum_{j=1}^{M} \mathbf{M}_{ij}^{-1} \mathbf{S}_{j}$

$$\mathbf{I}_{\text{OPT}} = \sum_{i} \overline{\mathbf{S}}_{k} \mathbf{W}_{k}^{\text{OPT}}$$

 V_i = Sampled voltage (sum of target echo, clutter, noise, etc.)

$$\mathbf{M}_{ij}$$
 = Covariance matrix of clutter, noise, etc

- S_i = Signal vector
- **k** = arbitrary constant
- M = Number of pulses processed

See De Long et al, Reference 14 for detailed derivation





- The optimum weights are given by: $\mathbf{W}_{i}^{OPT} = \mathbf{k} \sum_{i=1}^{M} \mathbf{M}_{ij}^{-1} \mathbf{S}_{j}$
 - The optimum filter weights are a function of Doppler frequency
- In lecture 18, these issues will be studied in more detail.
 - Also, see Reference 9
 It's a great, instructive readable reference for this material
- Because of the variable nature of ground clutter and rain, a simple high pass filter (MTI canceller) using a few (2-5) pulses will not come close to simultaneously rejecting both ground and rain clutter
 - At least 8 to 10 pulses are required for good rain rejection
 - Much of the rain clutter will pass through a high pass filter
- Typically, a set (bank) of Doppler filters are used, in parallel, to given good target detection over the range of Doppler frequencies
 - 0 to the PRF (Blind Speed)
 - The number of filters usually is equal to the number of pulses processed









MTI Improvement for One Optimum Filter

Filter optimized to reject ground clutter and noise



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Implementations of a Set of Doppler Filters



- The simplest way to implement a bank of filters is with a Discrete Fourier Transform (DFT)
 - Note the 13 dB sidelobes will give poor suppression of rain clutter
 - Weighting the input signal or use of other techniques, to be discussed in the next lecture, along with integrating an adequate number of pulses will give excellent target detection in the presence in even heavy rain

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<u>Issue</u>

Ground Clutter

Second Time Around Clutter

Rain

Tangential Targets, Blind Speeds

Solution

- 1. Eight Pulse Doppler Linear Coherent Filters (10 pulses)
- Coherent Transmitter
 Constant PRF within coherent processing interval
- 4. Doppler Filter Bank
 5. Adaptive Thresholding for each (Range Azimuth Doppler) Cell - 3.9 million cell
- 6. Fine Grained Clutter Map7. Multiple PRFs







- Pulse Doppler filtering on groups of 8 or more pulses with a fine grained clutter map.
- Aircraft are detected in ground clutter and / or rain with the Doppler filter bank & use of 2 PRFs.
- Birds and ground traffic are rejected in post processing, using Doppler velocity and a 2nd fine grained clutter map

Viewgraph Courtesy of MIT Lincoln Laboratory Used with permission



ASR-9 8-Pulse Filter Bank















Unprocessed Radar Returns



Doppler Spectrum of Rain



Time History of MTD Radar Tracker Output August 1975, FAA Test Center



Photographs Courtesy of FAA



Moving Target Detector - I (1975)





Courtesy of FAA



Non-Coherent Integration and the Effect of Correlated Clutter





- Rain clutter residue that leaks through the MTI canceller is correlated from pulse to pulse
- Non coherent integration of correlated clutter residue is less efficient than with uncorrelated noise

Courtesy of FAA











- The first 2 versions of the MTD were designed, built for the FAA by MIT Lincoln Laboratory from the early to the late 1970s and are documented extensively*
- After operational testing of MTD II, These concepts were included into the specification of the ASR-9 and incorporated in that radar** along with additional improvements
- These concepts are presently implemented in almost all ground based low PRF radars and have influenced the extensive evolution of pulse Doppler processing onto sea based and airborne platforms, as further improvements in digital processing technology and algorithmic techniques have advanced

*See References 1, 8, 9, 10, 11, 12 for extensive discussion

**See Taylor References 15





- The MTD proved that, for the first time, digital signal processing hardware and algorithmic technology could be implemented in a manner that would give excellent aircraft detection while rejecting all forms of clutter (ground, rain, etc), under almost all conditions, so that radar and beacon reports could be reliably correlated and displayed to the air traffic controller.
- Solving this particular civilian problem has been, over the ensuing years, a catalyst, for the appropriate application of this general approach to many other civilian and military radar problems:
 - Understanding that Moore's law will allow cost effective use, in the near future, of processing techniques, seemingly not cost effective today
 - Some experts said "You can never make wire wrapped 1000 IC work reliably (Incidentally, they were wrong!)
 - Now that processing can be done with a few programmable Power PC cards
 - Integration of many pulses to use low Doppler sidelobes to reject moving clutter (rain, chaff, sea clutter, etc.)
 - Use of high resolution clutter maps, to detect tangential targets
 - Solving the "signal processing to radar target display" problem in an integrated manner





- Clutter maps are a memory which stores for each range-CPI cell in the radar's coverage the value of the noise and clutter echo in that cell
 - Clutter maps are usually implemented using a recursive filter
 - For each range CPI cell, the clutter map is updated using the following algorithm

$$\mathbf{A}(\mathbf{n}+1) = \frac{1}{N} \left(\mathbf{A}(\mathbf{n}) \right) + \left(1 - \frac{1}{N} \right) \left(\mathbf{A}(\mathbf{n}-1) \right)$$

- N = 8 for the MTD n = scan number

- They are used to detect targets whose radial velocity is at of near zero and whose backscatter echo is greater than the clutter and / or noise amplitude stored in the clutter map
 - The clutter map channel offers a method of detecting targets that are not detected by the subset of the Doppler filters, that are adjacent to zero Doppler and whose shape is designed to strongly reject ground echoes near zero Doppler





- Clutter map detection techniques use temporal thresholding techniques
 - Spatial CFAR techniques would detect the edges of moving rain clouds
- Target detection is declared if the size of the average of the coherently integrated return is M times the previous scan's value, which is stored on the clutter map
- This process is performed for each Range CPI cell every scan of the radar
 - ~350,000 cell for an ASR radar
- Additional Points
 - This technique makes possible detection of tangential aircraft flying tangentially near large discrete pieces of ground clutter
 Called "Inter-clutter visibility" in the literature
 - Aircraft moving tangentially to the radar are give large specular echoes, which enhances this detection mode



Post Signal Processing Clutter Map Techniques



- Even with these, relatively sophisticated signal processing and thresholding techniques, performed on single range – CPI basis, sometimes excessive false detections do occur
- These can be caused by
 - Heavy bird migration
 - Ground clutter whose echoes exceed the A/D dynamic range
 - Automobile traffic
 - And other sources
- More sophisticated Area CFAR very similar to clutter maps have been developed to effectively deal with these problems
 - This set of thresholding techniques are employed before the tracking function
 - Good places to learn more detail about these "post processing" techniques are detailed ;

References 11 and 12; Reference 6, pp 284-285







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Unambiguous Doppler Velocity and Range











$$\frac{1}{N} \propto \frac{1}{R^4}$$

- This false target issue can be mitigated by attenuating to the received signal by a factor which varies as 1/R⁴
 - Can also be accomplished by injecting noise into the receive channel , which falls off as $1/R^4$
- Radars that utilize range ambiguous waveforms, cannot use STC, because long range targets which alias down in range, would be adversely attenuated by the STC
 - For these waveforms, other techniques must be used to mitigate the false target problem due to birds



Classes of MTI and Pulse Doppler Radars



		Low PRF	Medium PRF	High PRF	
	Range Measurement	Unambiguous	Ambiguous	Very Ambiguous	
	Velocity Measurement	Very Ambiguous	Ambiguous	Unambiguous	
Low PRF		Medium PRF		High PRF	
• Wind blown clutter		 Wind blown clutter may be a problem 		 Range eclipsing losses 	
• Can use STC		• Range eclipsing losses		 Distant targets compete with near in clutter 	
•		 Far out targets compete with near in clutter 		• Can't use STC	

Ambiguities difficult to remove

Can't use STC

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- Split dwell into multiple CPIs at different PRFs
 - Scan to scan, even pulse-to-pulse changes also possible
- Moves blind velocities to ensure detection of all non-zero velocity targets
- True target velocity is where best correlation across CPIs occurs
- Choose PRFs so that least common multiple occurs above desired maximum unambiguous velocity
 Viewgraph Courtesy of MIT Lincoln Laboratory Used with permission





R_c = (C₁A₁ + C₂A₂ + C₃A₃) modulo (m₁ m₂ m₃) (assumes 3 PRFs) R_c = True range/Doppler cell number Cell number is range expressed in pulse widths or Doppler velocity expressed in Doppler filter widths

A_i = Ambiguous range or Doppler cell number for ith PRF

 $PRF_i = 1 / t m_i$ t = pulsewidth

m₁ m₂ m₃ are relatively prime numbers

 $C_1 C_2$ and C_3 are related to $m_1 m_2$ and m_3 by

 $C_1 = b_1 x m_2 m_3 = 1 \text{ modulo } m_1$ $C_2 = b_2 x m_3 m_1 = 1 \text{ modulo } m_2$ $C_3 = b_3 x m_1 m_2 = 1 \text{ modulo } m_3$

where $b_1 = smallest$ positive integer which, when multiplied by $m_2 m_3$ and divided by m_1 gives unity as the remainder











Shoe L	ength of 4 Men's Feet		Measure of a Room (Remainder)
Bob	m ₁ = 7 inches	Bob	2 inches remainder = A_1
Larry	$m_2 = 8$ inches	Larry	5 inches remainder = A_2
Moe	$m_3 = 9$ inches	Moe	5 inches remainder = A_3^{-}
Curly	$m_4^{\circ} = 11$ inches	Curly	6 inches remainder = A_4°

WHAT IS THE LENGTH OF THE ROOM ??

$$\begin{array}{l} L = (\ C_1A_1 + C_2A_2 + C_3A_3 + C_4A_4 \) \ modulo \ (m_1\ m_2\ m_3\ m_4 \\ m_1\ m_2\ m_3\ m_4 = 5544 \\ C_1 = \ b_1\ x\ m_2\ m_3\ m_4 = 1 \ modulo \ m_1 \\ b_1\ x\ 8\ x\ 9\ x\ 11 = 1 \ modulo \ 7 \\ b_1\ x\ (7+1)\ x\ (7+2)\ x\ (7+4) = 1 \ modulo \ 7 \\ 8\ b_1\ = 1 \ modulo \ 7 \\ b_1\ = 1 \end{array}$$

$$L = [A_1(792x1) + A_2(693x5) + A_3(616x7) + A_4(504x5)] \mod 5544$$

= [2(792) + 5(3465) + 5(4312) + 6(2520)] modulo 5544
= [1584 + 17,325 + 21,560 + 15,120] modulo 5544
= 149 inches







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- Matched Filter is the cross correlation between :
 - Received signal (plus noise), and
 - A replica of the transmitted signal

Matched Filter Output = $\int_{-\infty}^{\infty} s_{R}(t) s^{*}(t - T_{R}) dt$

 $\mathbf{s}(t) = \mathbf{u}(t) \, \mathbf{e}^{2 \, \pi \, \mathbf{j} \, \mathbf{f}_{\mathrm{T}} \, t}$

 T_R = Round trip time delay to target

- For low S/N assumed:
 - Autocorrelation of transmitted signal
 - It is assumed that Doppler velocity of target is zero
- Usually the target is moving and the Doppler frequency of the target is not zero
- Then, the output of matched filter is the cross correlation of the transmitted signal and the received Doppler shifted echo.





- The Ambiguity Function is the squared magnitude of the cross correlation (output of matched filter) of the transmitted signal and the received Doppler shifted echo.
- Studying (analytically and graphically) the two dimensional properties of the Ambiguity Function as both :
 - Time delay (range), and
 - Doppler frequency (Doppler velocity)
 - are varied, can give great insight into understanding many of the waveforms properties, in particular:
 - Target resolution,
 - Waveform measurement accuracy,
 - Response to various types of clutter, and
 - Ambiguities in Doppler velocity and range





- The Ambiguity Function is the squared magnitude of the cross correlation (output of matched filter) of the transmitted signal and the received Doppler shifted echo.
- Thus, with some algebraic manipulation *

$$\chi(T_{R}, f_{D}) = \int_{-\infty}^{\infty} u(t) u^{*}(t + T_{R}) e^{2 \pi j f_{D} t} dt$$

- Thus, the ambiguity function is $|\chi(T_R, f_D)|^2$
 - T_R is the round trip time delay to the target
 - $-\mathbf{f}_{\mathbf{D}}^{-1}$ is the Doppler shift of the target

- and
$$s(t) = u(t) e^{2 \pi j f_T}$$

* See Skolnik Reference 1, pp 329-330 for details





- Maximum value of the ambiguity function $= (2E)^2$
 - At true location of target $T_D = 0$
 - $\quad \text{When, } \mathbf{f}_{_{D}} = \mathbf{0}$

Note: $s(t) = u(t)e^{2\pi j f_0 t}$

- Total volume under surface of ambiguity function $= (2E)^2$
- Behavior along T_R axis $|\chi(T_R,0)|^2 = |\int u(t)u^*(t+T_R)dt|^2$
 - Square of autocorrelation function of u(t)
- Behavior along frequency, f_D , axis $|\chi(0, f_D)|^2 = |\int u^2(t) e^{2\pi j f_0 t} dt|^2$
 - Square of inverse Fourier Transform of $u^2(t)$
- A good model of the ambiguity function, suggested by Skolnik, is a "box of sand"
 - Total volume of sand is = $(2E)^2$, The sand may be in different piles, but its volume is constrained to be = $(2E)^2$



Pulse Doppler 11/1/2009



Three General Classes of Ambiguity Functions







Knife Edge (ridge)

- Used to measure one parameter: range , Doppler, or a linear combination of range and Doppler
- Examples : a single rectangular pulsed sine wave or a single rectangular linear FM pulse





Three General Classes of Ambiguity Functions





Knife Edge (ridge)



Bed of Spikes

- Used to measure both range , Doppler with ambiguities
- Example : a burst of N pulses of sine wave





Three General Classes of Ambiguity Functions





Knife Edge (ridge)



Thumbtack

 Examples : pseudorandom noise waveforms (rarely used in radar)





- Ambiguity Function for two simple single sine wave pulses, each with different pulse widths
- Examples 2D slices across Ambiguity Function







Triangular shape along time axis

 $(\sin x)/x$ shape along frequency axis

Adapted from Rihaczek, in Skolnik, Reference 13

Ambiguity Function of Linear FM Pulse











- Ridge (knife edge) in **Ambiguity diagram** illustrates range Doppler coupling in linear FM waveform
- In this case, BT >> 1
- Angle of ridge is determined by the slope B/T

```
T = Pulsewidth
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B = Bandwidth =
$$\mathbf{f}_2 - \mathbf{f}_1$$



Ambiguity Function for a Burst of Five Rectangular Pulses







Ambiguity Diagram for Phase Coded Puls









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Pulse Doppler Radar Techniques on Airborne Platforms





Courtesy of US Air Force







Courtesy of US Navy





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- Doppler frequency of mainbeam clutter depends on scan direction
- Doppler frequency of target depends on scan direction and aspect angle

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- Pulse Doppler techniques can be used to optimally reject various forms of radar clutter
- Moving Target Detector is an example of near-optimum Doppler processing and associated adaptive thresholding techniques implemented in low PRF radars
- Ambiguities in range and Doppler velocity can be resolved by transmitting multiple bursts of pulses with different PRFs
 - The Chinese remainder Theorem is a useful tool in resolving these ambiguities
- The ambiguity function is a useful tool to understand the time and frequency properties of different waveforms





- From Skolnik (Reference 1)
 - Problems 3-9, 3-10, 3-11, 3-12, 3-13, 3-14 and 3-15





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